



Empire Industries Limited

April 18, 2024

To,  
The Manager,  
**BSE Limited**  
Listing Compliances  
P.J. Towers, Dalal Street  
Fort, Mumbai – 400001

Re Company Scrip Code: 509525

Sub Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir / Madam,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Compliance Certificate from Ms. Deepa Gupta, Practicing Company Secretary for the year ended on 31<sup>st</sup> March, 2024.

Kindly take note of the above.

Thanking you,

Yours faithfully,

**For EMPIRE INDUSTRIES LIMITED**

**S. C. NANDA**  
**Director Finance & Company Secretary**  
**ACS: 13703**

Encl. : As Above

CIN: L17120MH1900PLC000176  
**Regd. Office:** Empire Complex, 414, Senapati Bapat Marg,  
Lower Parel, Mumbai 400 013, India.  
Tel.: 66555453 • Fax : 24939143

E-mail: [email@empiresecretarial.com](mailto:email@empiresecretarial.com) • Web : [www.empiremumbai.com](http://www.empiremumbai.com)

-----**Divisions**-----

- Empire Machine Tools • Vitrum Glass • Empire Industrial Equipment
- Empire Vending (GRABBIT) • Empire Foods • The Empire Business Centre
- Empire Industrial Centrum

**DEEPA GUPTA**  
**Company Secretary**

Address:-405, B Wing, Sugee Preksha Building, Dr. Babasaheb Ambedkar Marg, Dadar TT  
Circle, Hindu Colony, Dadar East, Mumbai 400 014.  
Mob: 9322697460/9004745556 Email: deepaguptacs@gmail.com

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR)**  
**REGULATIONS, 2015**

**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH 2024**


I have examined all Registers, files and other documents relating to **Empire Industries Limited** maintained by Bigshare Services Private Limited, having its office at Pinnacle Business Park, Office No S6-2, Mahakali Caves Rd, next to Ahura Centre, Andheri East, Mumbai, Maharashtra 400093, the Registrar and Share Transfer Agent, pertaining to transfer / transmission etc. of equity shares of the Company for the period from **1<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- A) The Company has issued Share Certificate(s)/Letter of Confirmation(s), as applicable, in accordance with the relevant operational guidelines of SEBI, relating to the request(s) for transmission/name deletion/transposition of securities as the case may be, and issue of Duplicate share certificate(s) received during the period from 1<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024 within the prescribed timelines from respective date of lodgment except those rejected on technical grounds; and
- B) The Company has not received any request for sub-division, consolidation, split, renewal, exchange or endorsement of calls/allotment monies during the aforesaid period.

Date: 18<sup>th</sup> April, 2024  
Place: Mumbai

UDIN: A020860F000169327



  
Deepa Gupta  
Company Secretary  
M. No. 20860  
C.P. No. 8168